

Attorney Docket No. 5308-299

*18m*  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Andrews et al.

Confirmation No.: 9026

Application Serial No.: 10/733,845

Group Art Unit: 2812

Filed: December 11, 2003

For: SEMICONDUCTOR SUBSTRATE ASSEMBLIES AND METHODS FOR  
PREPARING AND DICING THE SAME

Date: August 3, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37  
C.F.R. § 1.97(c) FOR APPLICATION FILED AFTER JUNE 30, 2003**

Sir:

Attached is a list of documents on Form PTO-1449, together with a copy of any listed foreign patent document and/or non-patent literature. A copy of any listed U.S. patent and/or U.S. patent application publication is not provided herewith in accordance with the waiver by the U.S. Patent and Trademark Office of requirements under 37 C.F.R. § 1.98(a)(2)(i) for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003.

This Information Disclosure Statement is submitted in accordance with 37 C.F.R. § 1.97(b), within three months of the filing date of the above-referenced application or before the mailing of a first Office Action on the merits, whichever event occurs last. Therefore, no fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

Respectfully submitted,



David D. Beatty  
Registration No. 38,071



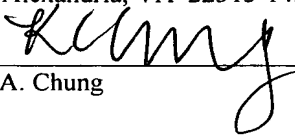
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Katie A. Chung

Substitute form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

**B**

of

**B****Complete if Known**

Application Number	10/733,845
Filing Date	December 11, 2003
First Named Inventor	Andrews et al.
Group Art Unit	2812
Examiner Name	Not yet assigned
Attorney Docket Number	5308-299

**U.S. PATENTS AND PATENT PUBLICATIONS**

Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code (if known)		
	1	US-4,966,862		Edmond	10-30-1990
	2	US-5,429,954		Gerner	07-04-1995
	3	US-5,923,053		Jakowetz et al.	07-13-1999
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T
		Office	Number	Kind Code (if known)			
	4	JP	09270528	Abstract	Tottori Sanyo Electric Co. Ltd.	10-14-1997	Abstract
	5	JP	09270528	A	Tottori Sanyo Electronics Co. Ltd.	01-30-1998	
	6	WO	02/37578	A1	Osram Opto Semiconductors GMBH & Co. OHG	05-10-2002	Abstract
	7	WO	03/030271	A2	Osram Opto Semiconductors GMBH	04-10-2003	Abstract
	8	WO	2003/030271	A3	Osram Opto Semiconductors GMBH	04-10-2003	Abstract
	9	WO	03/010817	A2	Cree, Inc.	06-02-2003	T
	10	WO	03/010817	A3	Cree, Inc.	06-02-2003	T

**OTHER NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.